

MARKED-UP FILING RECEIPT



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: 1500 BUREAU OF INVESTIGATION FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FIL FEE RECD	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/812,642	03/30/2004	1634	770	NEX87/C2	11	18	3

CONFIRMATION NO. 5220

25871
 SWANSON & BRATSCHUN L.L.C.
 1745 SHEA CENTER DRIVE
 SUITE 330
 HIGHLANDS RANCH, CO 80129

SEP - 9 2004

UPDATED FILING RECEIPT



"0C000000013746071"

Date Mailed: 09/07/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nikos Pagratis, Boulder, CO;
 Michael Lochrie, Louisville, CO;
 Larry Gold, Boulder, CO;

Power of Attorney:

Thomas Bratschun-32966
 Barry Swanson-33215
 Margaret Wall-33462
 Diane Cruz-33960
 Rosemary Kellogg-39726

Domestic Priority data as claimed by applicant

This application is a CON of 09/791,301 02/23/2001 PAT 6,713,616
 which is a CON of 09/363,939 07/29/1999 PAT 6,346,611
 which is a CIP of 09/046,247 03/23/1998 PAT 6,124,449
 which is a CIP of 08/458,424 06/02/1995 PAT 5,731,424
 which is a CIP of 07/714,131 06/10/1991 PAT 5,475,096
 and is a CIP of 07/931,473 08/17/1992 PAT 5,270,163
 and is a CIP of 07/964,624 10/21/1992 PAT 5,496,938
 and is a CIP of 08/117,991 09/08/1993 ABN
 and said 07/714,131 06/10/1991
 is a CIP of 07/536,428 06/11/1990 ABN

and said 09/363,939 07/29/1999 PAT 6,346,611
 is a CIP of 08/434,465 05/04/1995 PAT 6,011,020

Projected Publication Date: 12/16/2004

Non-Publication Request: No

Early Publication Request: No

Title

High affinity TGF β nucleic acid ligands and inhibitors

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

